

Notice of Meeting

Audit & Governance Committee



SURREY
COUNTY COUNCIL

Date & time

Monday, 29
November 2021
at 10.30 am

Place

Surrey County
Council, Woodhatch
Place, 11 Cockshot
Hill, Reigate, Surrey,
RH2 8EF

Contact

Angela Guest
angela.guest@surreycc.gov
.uk

Chief Executive

Joanna Killian

We're on Twitter:
@SCCdemocracy

Members

Stephen Cooksey, Victor Lewanski (Vice-Chairman), David Lewis (Chairman), Rebecca Paul, Joanne Sexton and Richard Tear

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING [20 SEPTEMBER 2021]

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (23 November 2021).
2. The deadline for public questions is seven days before the meeting (22 November 2021).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER AND WORKPLAN

(Pages 7
- 14)

To review the Committee's recommendations tracker and 2021/22 workplan.

6 PENSIONS TURNAROUND PROGRAMME

(Pages
15 - 28)

Briefing requested at September meeting.

7 EXTERNAL AUDIT UPDATE REPORT

Report to follow.

- 8 EXTERNAL AUDIT PROCUREMENT** (Pages 29 - 38)
- We have a choice on whether our external audit is procured directly by SCC; e.g. we approach the market directly, or whether we take part in a national process run by Public Sector Audit Appointments LTD (A subsidiary of the LGA) where they commission external audit on behalf of the sector and allocate us an auditor.
- Decision to go to full council**
- 9 INTERNAL AUDIT PROGRESS - Q2** (Pages 39 - 56)
- To report on Internal Audit progress during quarter 2
- 10 ANTI-FRAUD AND CORRUPTION STRATEGY AND FRAMEWORK** (Pages 57 - 80)
- An update to Surrey's Counter Fraud Strategy for the Committee to approve
- 11 TREASURY MANAGEMENT HALF YEAR REPORT** (Pages 81 - 92)
- This report summarises the council's treasury management activity.
- 12 CORPORATE RISK UPDATE** (Pages 93 - 100)
- To receive an update on the overall corporate risks and a more detailed review on a specific risk
- 13 ANNUAL GOVERNANCE STATEMENT - HALF YEAR REPORT**
- Report to follow.
- 14 APPOINTMENT OF INDEPENDENT MEMBER** (Pages 101 - 104)
- This report details the process undertaken to date to recruit an Independent Member to the Audit and Governance Committee and confirms that the preferred candidate will be recommended to County Council for appointment as the Independent Member to the Audit and Governance Committee.
- 15 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 24 January 2022.

Joanna Killian
Chief Executive
 Published: 19 November 2021

MOBILE TECHNOLOGY AND FILMING – ACCEPTABLE USE

Those attending for the purpose of reporting on the meeting may use social media or mobile devices in silent mode to send electronic messages about the progress of the public parts of the meeting. To support this, County Hall has wifi available for visitors – please ask at reception for details.

Anyone is permitted to film, record or take photographs at council meetings. Please liaise with the council officer listed in the agenda prior to the start of the meeting so that those attending the meeting can be made aware of any filming taking place.

Use of mobile devices, including for the purpose of recording or filming a meeting, is subject to no interruptions, distractions or interference being caused to the PA or Induction Loop systems, or any general disturbance to proceedings. The Chairman may ask for mobile devices to be switched off in these circumstances.

It is requested that if you are not using your mobile device for any of the activities outlined above, it be switched off or placed in silent mode during the meeting to prevent interruptions and interference with PA and Induction Loop systems.

Thank you for your co-operation