


## Notice of Meeting

# Audit and Governance Committee



| <u>Date and Time</u>                   | <u>Place</u>  | <u>Contact</u>   | <u>Web:</u>  |
|--|---|--|--|
| Wednesday, 12 July<br>2023<br>10.00 am | Surrey County<br>Council, Council<br>Chamber,<br>Woodhatch Place,<br>11 Cockshot Hill,<br>Reigate, Surrey, RH2<br>8EF | Amelia Christopher<br><br>amelia.christopher@surreycc.<br>gov.uk | <a href="#">Council and<br/>democracy<br/>Surreycc.gov.uk</a><br><br><b>Twitter:</b><br><a href="#">@SCCdemocracy</a><br> |

### Committee Members:

Victor Lewanski (Chairman), Richard Tear (Vice-Chairman), Ayesha Azad, Helyn Clack, Stephen Cooksey, Joanne Sexton and Terry Price (Independent Member)

If you would like a copy of this agenda or the attached papers in another format, e.g. large print or braille, or another language, please email Amelia Christopher on [amelia.christopher@surreycc.gov.uk](mailto:amelia.christopher@surreycc.gov.uk).

This meeting will be held in public at the venue mentioned above and may be webcast live. Generally the public seating areas are not filmed. However, by entering the meeting room and using the public seating area or attending online, you are consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes. If webcast, a recording will be available on the Council's website post-meeting. The live webcast and recording can be accessed via the Council's website:

<https://surreycc.public-i.tv/core/portal/home>

If you would like to attend and you have any special requirements, please email Amelia Christopher on [amelia.christopher@surreycc.gov.uk](mailto:amelia.christopher@surreycc.gov.uk). Please note that public seating is limited and will be allocated on a first come first served basis.

## **AGENDA**

### **1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS**

To receive any apologies for absence and substitutions.

### **2 MINUTES OF THE PREVIOUS MEETING - 5 JUNE 2023**

(Pages  
1 - 8)

To agree the minutes as a true record of the meeting.

### **3 DECLARATIONS OF INTEREST**

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

#### **NOTES:**

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

### **4 QUESTIONS AND PETITIONS**

To receive any questions or petitions.

#### **Notes:**

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*6 July 2023*).
2. The deadline for public questions is seven days before the meeting (*5 July 2023*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

### **5 RECOMMENDATIONS TRACKER AND WORK PLAN**

(Pages  
9 - 20)

To review the Committee's recommendations tracker and work plan.

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|-----------|--|-------------------------|
| <b>6</b>  | <b>INTERNAL AUDIT ANNUAL REPORT AND OPINION 2022-23</b>  | (Pages<br>21 - 50)      |
|           | <p>The purpose of this report is to give an opinion on the adequacy of Surrey County Council's control environment as a contribution to the proper, economic, efficient and effective use of resources. The report covers the audit work completed in the year from 1 April 2022 to 31 March 2023 in accordance with the Internal Audit Strategy for 2022/23. A separate report on Counter Fraud work undertaken in 2022/23 forms part of this Committee agenda.</p> |                         |
| <b>7</b>  | <b>COUNTER FRAUD ANNUAL REPORT 2022/23</b>   | (Pages<br>51 - 60)      |
|           | <p>The report covers the counter fraud work completed in the year from 1 April 2022 to 31 March 2023 in accordance with the Counter Fraud Strategy and Framework.</p>  |                         |
| <b>8</b>  | <b>UPDATE ON THE SURREY FORUM AND THE FOUR ASSOCIATED STRATEGIC PARTNERSHIP BOARDS</b>   | (Pages<br>61 - 68)      |
|           | <p>To respond to a request from the Audit &amp; Governance Committee in June 2022 to consider the governance around the Surrey Forum and four associated county-wide, strategic partnership boards, once they had matured (Health &amp; Wellbeing Board, One Surrey Growth Board, Greener Futures Board, and Thriving Communities Board).</p>  |                         |
| <b>9</b>  | <b>DRAFT STATEMENT OF ACCOUNTS 2022/23</b>   | (Pages<br>69 -<br>232)  |
|           | <p>To provide the Audit &amp; Governance Committee with the Draft Accounts for 2022/23.</p>  |                         |
| <b>10</b> | <b>EXTERNAL AUDIT PLAN 2022/23</b>   | (Pages<br>233 -<br>262) |
|           | <p>To provide the Audit &amp; Governance Committee with an update on the process for undertaking the external audit of the 2022/23 Statement of Accounts.</p>  |                         |
| <b>11</b> | <b>2022/23 DRAFT ANNUAL GOVERNANCE STATEMENT</b>   | (Pages<br>263 -<br>276) |
|           | <p>This report presents the draft Annual Governance Statement, which summarises the council's governance arrangements for the financial year ending 31 March 2023.</p>   |                         |
| <b>12</b> | <b>WHISTLEBLOWING ANNUAL REPORT</b>  | (Pages<br>277 -<br>290) |
|           | <p>This report presents the annual whistleblowing report of the Council as a new report to the Audit and Governance Committee to improve transparency and accountability. The report summarises the whistleblowing activity over the last year and analyses the effectiveness of the Council's system.</p>   |                         |

**13 REVIEW OF BEHAVIOUR AND CULTURAL GOVERNANCE -  
UPDATE ON ACTION PLAN**

(Pages  
291 -  
300)

To update Members on actions taken in response to the Centre for Governance and Scrutiny's (CfGS) review of Council governance using their Governance Risk and Resilience Framework.

**14 DATE OF NEXT MEETING**

The next meeting of the Audit and Governance Committee will be on 13 September 2023.

**Joanna Killian  
Chief Executive**

Published: Tuesday, 4 July 2023

## **MOBILE TECHNOLOGY AND FILMING – ACCEPTABLE USE**

Members of the public and the press may use social media or mobile devices in silent mode during meetings. Public Wi-Fi is available; please ask the committee manager for details.

Anyone is permitted to film, record or take photographs at Council meetings. Please liaise with the committee manager prior to the start of the meeting so that the meeting can be made aware of any filming taking place.

The use of mobile devices, including for the purpose of recording or filming a meeting, is subject to no interruptions, distractions or interference being caused to any Council equipment or any general disturbance to proceedings. The Chairman may ask for mobile devices to be switched off in these circumstances.

Thank you for your co-operation.

## **QUESTIONS AND PETITIONS**

Cabinet and most committees will consider questions by elected Surrey County Council Members and questions and petitions from members of the public who are electors in the Surrey County Council area.

### **Please note the following regarding questions from the public:**

1. Members of the public can submit one written question to a meeting by the deadline stated in the agenda. Questions should relate to general policy and not to detail. Questions are asked and answered in public and cannot relate to “confidential” or “exempt” matters (for example, personal or financial details of an individual); for further advice please contact the committee manager listed on the front page of an agenda.
2. The number of public questions which can be asked at a meeting may not exceed six. Questions which are received after the first six will be held over to the following meeting or dealt with in writing at the Chairman’s discretion.
3. Questions will be taken in the order in which they are received.
4. Questions will be asked and answered without discussion. The Chairman or Cabinet members may decline to answer a question, provide a written reply or nominate another Member to answer the question.
5. Following the initial reply, one supplementary question may be asked by the questioner. The Chairman or Cabinet members may decline to answer a supplementary question.