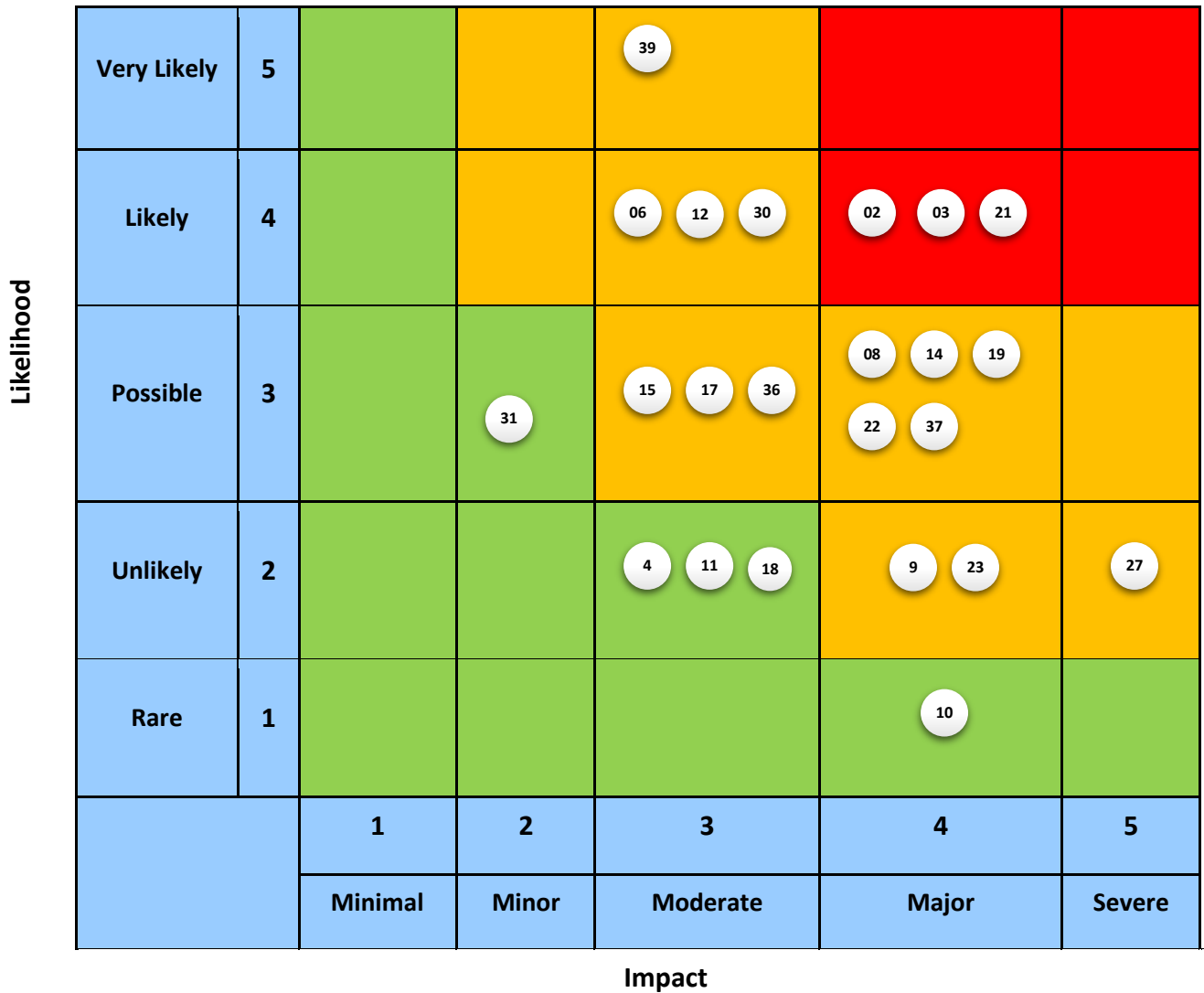


Corporate Risk Heat Map

August 2024 – Audit and Governance Committee



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Strategic Risk	Risk Lead	Risk with current mitigation controls in place		
		Likelihood	Impact	Overall Score
ST.02 There is a risk of a significant gap between the medium-term financial strategy (2024/25-2029/30) and actual expenditure potentially leading to a need to reduce level & quality of services	AD'	4	4	16
ST.03 There is a risk of a deliberate and / or targeted cyber attack compromising IT systems and critical IT infrastructure	LM	4	4	16
ST.21 There is a risk that the Dedicated School Grant, which is currently running at a deficit, does not begin to return an in year surplus within the period of the MTFs and therefore does not return the DSG to balance with reasonable timescale	RW	4	4	16
ST.39 NEW Risk that ongoing issues in Unit4 inhibits the ability for critical Payroll and Pensions functions to operate effectively and efficiently	LM	5	3	15

ST.08	There is a risk that SCC will be unable to meet an increasing level of demand for child and family services expected by the community over the medium term	RW	3	4	12
ST.14	There is a risk that we do not deliver sufficiently good quality children's care services to the residents of Surrey	RW	3	4	12
ST.22	There is a risk that SCC will be unable to meet an increasing level of demand for adult services including spending pressures arising from NHS activity	HC	3	4	12
ST.37	There is a risk that we do not deliver a sufficiently good Quality of Practice in AWH to the residents of Surrey	HC	3	4	12
ST.06	There is a risk that a supplier or a commissioned service is unable to continue to provide a service, or that they fail to do so to the required level or quality standards	AD'	4	3	12
ST.12	There is a risk that SCC will not be able to recruit and retain sufficient numbers of skilled staff to manage & deliver quality services provided	LM / SS	4	3	12
ST.19	There is a risk of failure to comply with H&S statutory duties (or managers/individuals failing to comply with H&S responsibilities and processes) could lead to serious harm, loss of life & corporate liability	LM / SS	3	4	12
ST.30	There is a risk that the rising costs will reduce living standards for residents with some struggling to pay for the basic essentials	HC	4	3	12
ST.27	There is a risk that SCC cannot deliver core services due to a dependency on national 'infrastructure components' that become unavailable e.g. power outage, fuel shortage	DQ	2	5	10
ST.17	There is a risk that SCC will not implement sufficient measures to deliver carbon emissions reductions and be carbon net zero as an organisation by 2030	SC	3	3	9
ST.15	Severe weather events exacerbated by Climate Change leads to a loss of service provision and also other community-wide consequences	SC	3	3	9
ST.36	There is a risk that significant changes taking place in the operating environment of AWHP could result in service delivery being negatively impacted	HC	3	3	9
ST.09	There is risk that we will not achieve the intended outcomes of our transformation programme in the planned timeframe	LM	2	4	8
ST.23	There is a risk that a resurgence of Covid (serious, vaccine evading variant) and/or other widespread virus leads to a major drop in SCC service levels	HC	2	4	8
ST.31	The risk that our approach to tackle inequality does not lead to a more equal and diverse workforce	LM/ SS	3	2	6
ST.18	There is a risk of failure to maintain key infrastructure or assets or deliver major infrastructure	OJ	2	3	6
ST.04	There is a risk of a breach of the Data Protection Act from a loss/disclosure of personal data e.g. data published in public domain	AD'/ AH	2	3	6
ST.11	There is a risk that the working partnerships we have with other organisations will not deliver the intended objectives	MC	2	3	6
ST.10	There is a risk that there is a serious breakdown in council governance which could result in external reporting/intervention	AD' /AH	1	4	4

Removed risks from Corporate risk register this period:

ST.38 (2024)	There is a risk that Industrial Action means that SCC cannot perform its statutory obligations and/or limits ability to deliver some services	LW	3	4	12
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