

Impact of the proposals on residents, service users and staff with protected characteristics

Schools Funding Consultation for 2019/20

Annex 4 s1 Introduction of control mechanisms on excessive surplus balances (Proposal C3 of consultation paper)

The proposal was to remove surplus revenue balances in excess of (initially) 20% of non-grant funding from schools with revenue surpluses exceeding that level.

Protected characteristic	Potential positive impacts	Potential negative impacts
Age	N/a	N/a
Disability	This proposal is part of a wider programme moving towards early intervention and support for those with SEND and may therefore have a positive impact of needs are met earlier	Comparison of balances with SEND data shows no link (See data below)
Gender reassignment	No data	No data
Pregnancy and maternity	No data	No data
Race	N/a	Comparison of balances with ethnicity data shows no link (See data below)
Religion and belief	No data	No data
Sex	N/a	N/a
Sexual orientation	No data	No data
Marriage and civil partnerships	No data	No data
Carers Protected by association	No data	No data

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Are there any direct or indirect impacts on protected characteristics? If yes, you will need to complete a full Equality Impact Assessment.

Yes No **N**

Data table to support conclusions (data refers to maintained schools only)

% of primaries with surpluses>20%	14.00%
% of primaries >average % non WH with surpluses >20%	15.00%
% of primaries top quartile for non WH with surpluses>20%	16.00%
% of primaries top 10% for non WH with surpluses>20%	10.0%
% of secondaries with surpluses>20%	15.38%
% of secondaries >average % non WH with surpluses >20%	16.67%
% of secondaries top quartile for non WH with surpluses>20%	0.00%

% of primaries with surpluses>20%	14.07%
% of primaries >average % EHCP with surpluses >20%	14.14%
% of primaries top quartile for EHCP with surpluses>20%	16.33%
% of primaries top 10% for EHCP with surpluses>20%	15.8%
% of secondaries with surpluses>20%	15.38%
% of secondaries >average % EHCP with surpluses >20%	16.67%
% of secondaries top quartile for EHCP with surpluses>20%	0.00%

% of primaries with surpluses>20%	14.07%
% of primaries >average % SEK with surpluses >20%	14.14%
% of primaries top quartile for %SEK with surpluses>20%	22.45%
% of primaries top 10% for %SEK with surpluses>20%	26.3%
% of secondaries with surpluses>20%	15.38%
% of secondaries >average % SEK with surpluses >20%	16.67%
% of secondaries top quartile for %SEK with surpluses>20%	0.00%

% of maintained special schools with surpluses in excess of 20%: 6.25% (a minority, smaller than the corresponding percentage for mainstream schools).

SEK=statemented, EHCP or SEN support

Note: only two maintained secondary schools had surpluses exceeding 20% of revenue funding at 31 March 2018.

The data suggests that primary schools with high overall incidence of SEN (incl SEN support) are more likely to have high levels of balances, although these are children with lower levels of SEN (and this may be a matter of school identification). This is actually curious because one might expect the finances of such schools to be under greater pressure.