

AUDIT & GOVERNANCE COMMITTEE - WORK PLAN 2023 / Draft 2024

22 November 2023		
LGSCO ANNUAL LETTER AND COMPLAINTS HANDLING UPDATE	To give the Audit & Governance Committee an overview of the Local Government and Social Care Ombudsman's annual letter for the year 2022/23 and an update on complaint handling across the council.	Assistant Director – Assistant Director - Registrations, Coroner's Service & Customer Strategy
6 MONTH COMPLAINTS PERFORMANCE UPDATE REPORT 2023/24	To receive a half year update report on the operation of the Council's complaints procedures.	Customer Relations & Service Improvement Manager
ANNUAL GOVERNANCE STATEMENT - HALF YEAR UPDATE	This report provides an update on progress on the improvement areas identified in the 2022/23 Annual Governance Statement.	Director - Law & Governance/CEX
New item STATEMENT OF ACCOUNTS 2021/22	To note the revised 2021/22 Statement of Accounts.	Chief Accountant (Corporate)
New item EXTERNAL AUDIT 2022/23 – PROGRESS REPORT	To receive a progress update report on the 2022/23 Statement of Accounts, approval of the accounts has been deferred to January 2024. This report replaces the External Audit Update Report.	Chief Accountant (Corporate)
EXTERNAL AUDIT UPDATE REPORT	To receive an update from the council's external auditors.	Grant Thornton
TREASURY MANAGEMENT MID YEAR REPORT 2023/24	This report summarises the council's treasury management activity.	Director - Corporate Finance and Commercial
INTERNAL AUDIT PROGRESS REPORT - Q2	To report on Internal Audit progress during quarter 2.	Audit Manager

17 January 2024		
TREASURY MANAGEMENT STRATEGY STATEMENT 2024/25	This report sets out the Council's Treasury Management Strategy for 2024/25, as required, to ensure compliance with the Chartered Institute of Public Finance and Accountancy's Treasury Management in the Public Services: Code of Practice 2021 Edition (the CIPFA Code)	Finance Manager
Deferred from November 2023 STATEMENT OF ACCOUNTS 2022/23	To approve the 2022/23 Statement of Accounts.	Chief Accountant (Corporate)
2022/23 AUDIT REPORTS AND ANNUAL STATEMENT OF ACCOUNTS FOR HENDECA GROUP LTD, SURREY CHOICES LTD, HALSEY GARTON RESIDENTIAL LTD, AND HALSEY GARTON GROUP LTD	Dec 2016 Committee requested that annual accounts also be presented. To include Surrey Choices as well as Halsey Garton & S E Business Services.	Grant Thornton
EXTERNAL AUDIT: ANNUAL AUDIT REPORT 2022/23	The Council's external auditors present their Annual Audit Report.	Chief Accountant (Corporate) / Grant Thornton
EXTERNAL AUDIT: ANNUAL AUDIT LETTER	The Council's external auditors present their Annual Audit Letter	Director - Corporate Finance Grant Thornton

13 March 2024		
INTERNAL AUDIT PROGRESS REPORT - QUARTER 3	The purpose of this progress report is to inform Members of the work completed by Internal Audit during Q3.	Audit Manager
INTERNAL AUDIT STRATEGY AND ANNUAL AUDIT PLAN 2024/25	To receive the strategy and the plan for 2024/25.	Audit Manager
ETHICAL STANDARDS ANNUAL REVIEW 2023-24	To enable the Committee to monitor the operation of the Members' Code of Conduct over the course of the last year. To include sections on: (for review) - Gifts and Hospitality Register	Director of Law and Governance / Head of Insight, Programmes and Governance

	- Member Declarations of Interest	
RISK MANAGEMENT	To receive an update on Risk Management (Mar & Sept)	Strategic Risk Business Partner

5 June 2024		
2023/24 TREASURY MANAGEMENT OUTTURN REPORT	This report summarises the council's treasury management activity, as required to ensure compliance with CIPFA. The report will include the latest risk register for Treasury Management.	Strategic Finance Business Partner
ANNUAL COMPLAINTS PERFORMANCE REPORT	To give the Audit & Governance Committee an overview of the Council's complaint handling performance in 2023/24 and to demonstrate how feedback from customers has been used to improve services.	CFL Customer Relations Manager Assistant Director - Registrations, Coroner's Service & Customer Strategy
EXTERNAL AUDIT PLAN 2023/24	The Council's external auditors to present their Audit Plan in respect of Surrey County Council.	Chief Accountant (Corporate) / Grant Thornton
SURREY PENSION FUND EXTERNAL AUDIT PLAN 2023/24	To provide the Committee with an update on the process for undertaking the external audit of the 2023/24 Surrey Pension Fund.	Chief Accountant (Corporate) / Grant Thornton

10 July 2024		
2023/24 DRAFT ANNUAL GOVERNANCE STATEMENT	This report presents the draft Annual Governance Statement, which summarises the Council's governance arrangements for the financial year.	Director of Law and Governance / Senior Finance Business Partner
WHISTLEBLOWING ANNUAL REPORT	The Committee to receive the report for information.	Director of Law and Governance / Head of Insight, Programmes and Governance
DRAFT STATEMENT OF ACCOUNTS 2023/24	To receive the report.	Chief Accountant (Corporate)
INTERNAL AUDIT ANNUAL REPORT AND OPINION 2023-24 (including Quarter Four progress report)	This report summarises the work of Internal Audit, identifying the main themes arising from the audit reviews and the implications for the County Council.	Chief Internal Auditor/Audit Manager
COUNTER FRAUD ANNUAL REPORT 2023/24	To receive the annual report.	Chief Internal Auditor/Audit Manager - Counter Fraud

11 September 2024		
RISK MANAGEMENT	To receive an update on Risk Management (Mar & Sept).	Head of Strategic Risk
INTERNAL AUDIT PROGRESS REPORT – Q1	The purpose of this progress report is to inform Members of the work completed by Internal Audit during Quarter 1.	Chief Internal Auditor/ Audit Manager

20 November 2024		
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6 MONTH COMPLAINTS PERFORMANCE UPDATE REPORT 2024/25	To receive a half year update report on the operation of the Council's complaints procedures.	Customer Relations & Service Improvement Manager

ANNUAL GOVERNANCE STATEMENT - HALF YEAR UPDATE	This report provides an update on progress on the improvement areas identified in the 2022/23 Annual Governance Statement.	Director - Law & Governance/CEX
STATEMENT OF ACCOUNTS 2023/24	To approve the 2023/24 Statement of Accounts.	Chief Accountant (Corporate)
EXTERNAL AUDIT UPDATE REPORT	To receive an update from the council's external auditors.	Grant Thornton
TREASURY MANAGEMENT MID YEAR REPORT 2024/25	This report summarises the council's treasury management activity.	Director - Corporate Finance
INTERNAL AUDIT PROGRESS REPORT - Q2	To report on Internal Audit progress during quarter 2.	Audit Manager

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