APPENDIX 3

Coding and Themes

18 March Woking	20 March Reigate	22 April remote	24 April remote
Where support was found	Where support was found	Where support was found	Where support was found
Some primary	Proactive nursery, helped with paperwork	NHS support (for speech delay)	Supportive primary school (2 people)
years (dependent on teachers)	EP found quickly	Primary school (3)	(Some teachers in)
Lived experience of teacher	Independent school supportive of getting EHCP	secondary school (making adjustments)	primary school met need
Training delivered by people with lived experience	Case officer with lived experience	Nursery helpful-early identification	(secondary) SENCo explained why and was understanding
Responsive case	Knowledgeable case	Third sector	Supportive head and
officer (lived experience)	workers	Parent groups	SENCo face-to-face explained process
Some independent schools provide	Nursery and TATF meets led to referral	Home school link worker and SENCo tried hard to be helpful	(primary) SENCo and family link
therapists and help with EHCP process	Third sector (2) (helped train school staff, helped with paperwork)	GP tried (unsuccessfully) to speed up CAMHS	worker informed on process
Solicitor	Facebook groups		Nursery helpful with EHCP
Third sector (2) (knowledgeable)	Perceived bad practice	Perceived bad practice	Facebook groups (3 people)
Parent groups	Communication (word mentioned once as an	Communication (word mentioned once as an issue)	Peer support/parent groups (2 people)
Facebook groups	issue) Fight/battle (6 mentions,	Fight/battle (3 mentions, 2 people)	Family Voice Surrey
Perceived bad	4 people)		Third sector (4)
practice Communication	Case officer turnover (3 people)	Timeliness (14) (3 to issue Plan, 4 assessments/diagnosis, 2	(quick response, knowledge of system, informative
(word mentioned 3		intervention, 1 SLT	and thorough
times as an issue) Fight/battle (2	Poor quality EHCP (4) (standard of English, lack of clarity and precision,	provision, 3 people delay in support led to crisis, 1 length of appeal, 1	advice, helped with paperwork, provide training to school)
people)	SMART goals, health section)	person case officer communication)	Inclusion officer
Fail (5 mentions)	EUCD orror (1 person 2	Perception of illegality	face-to-face
Unsupported (2 people) (1 LA, 1	EHCP error (1 person, 2 errors)	(when saying no to EHCNA despite autism	Mediation face-to- face
social services)	Not signposted to support (8) (including 2 to list of	diagnosis)	Swift decision at
Feel blamed/accused	special schools)	Last minute agreement before tribunal	mediation
(3 people) (1 social worker)	Not aware of local offer (2)	EHCP refused	LSPA and Be.Heard following protocol

Lack of empathy (3) (2 in schools)	Not knowing who does what (case officer)	No school named on Plan (1 person)	LSPA informed on process
No to issue EHCP	Unsupported (2 people) (3 LA and 1 social	Named school not parental preference	Virtual School
No to assess	services)	Lack of action resulting	Some great caseworkers
Not considering privately	Timeliness (9) (autism assessment, getting	from EHCP	Good
commissioned reports	appropriate school, 2 to issue Plan, waiting lists	Unsupported by CAMHS (1 person)	communication, empathy and
Primary school says can't meet	for help, general, 3 people case officer communication)	Inadequate AP	knowledge of system from case officer with lived experience
need	Not considering privately	Pressure from inclusion service	Honesty of OT
Special school not meeting academic need	commissioned assessment	Incendiary language (teachers)	Apology appreciated
Mainstream	Not involved in process	Primary school not	Replacement case officer made things
schools not differentiating	Not listened to	meeting need	happen
Some primary years (dependent	No explanation OT failed to attend TATF	Plan names secondary school that says it can't meet need	LA staff explained why
on teachers)	Case officer failed to	Secondary school not	Private clinicians' advice on schools
Labelling (teachers)	attend TATF	making (enough) adjustments for SEN (3	
No personalisation	No personalisation (2 people) (1 OT report)	people) [often not understanding ASD presenting as	Perceived bad practice
Timeliness (6) (to name school, 2 to	Poor communication with schools	anxiety]	Battle/fight (6 mentions, 4 people)
issue Plan, assessment, 2 people case officer	Complicated language	School provoked behaviour to get EHCP	Communication (The word mentioned 15
communication)	Feel blamed/accused (1 person)	Not involved in process (2 people)	times as an issue)
Not aware who case officer is	Incendiary language (3)	Not listened to / dismissed (3 people)	No personalisation (2 people)
No explanation of decision	Lack of understanding/empathy (3 people) (LA, 2 case	Feel blamed/accused (1 person)	Not signposted to other support
Not listened to (3 people)	officers, mainstream SENCo)	Communication with LA	Not informed (7) (of entitlement to AP, of
Incendiary language	Not supported by CAMHS (2 people)	Complicated language (2 people)	Panel outcome, that school hadn't responded, of
Poor communication	Not had provision in Plan	No explanation (2 people)	process, 3 people who does what)
between services	Suitable equipment not provided	Lack of consistency in giving information	Not informed of options by school (3
Case officer turnover (2 people)	Shortage of short breaks provision	Not signposted to support (3 people)	people)

Tribunals perceived as unjustifiedPerception of illegality (no to assess)Not knowing SCC's remit / Not informed who does what / Not knowing how to access help / Difficult to navigate EHCP process / Process complicated (4 people)No Panel transparency (2 people)Different policy for children with disabilitiesLast minute agreementto access help / Difficult to navigate EHCP process / Process complicated (4 people)Complicated language not explained (2 people)Support not equally accessible to allConsequences of bad practiceNot child-centricNot listened to/dismissed (6 people) "neuroti mother"	n
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Don't follow CoP people) "neuroti Emotional/health impact Unsupported by CAMHS mother"	;
Don't follow CoP people) "neuroti Emotional/health impact Unsupported by CAMHS mother"	;
Poor quality EHCP (P/C) (2 people) (1 person)	
(3 people) (1 EP Not accepting	
report, 2 evidence Strain on family private reports	
edited, 1 errors relationships Consequences of bad	
generally) practice Feel	
Financial impact (2 blamed/accused	(4
CAMHS error (lost people) Emotional impact (CYP) people)	
paperwork) (5 people)	
Lack of trust (4 people) Unsupported (by	LA)
Unsupported by (all suspect refusal due to Emotional/health impact (2 people)	
CAMHS (2 people) money) (P/C) (4 people) No to assess	
CME, future prospects (2) 'Trauma', in context of overturned	
Consequences of EHCP process (2)	
bad practice Child nearly went into [Excludes 'Trauma' in Timeliness (14)	'3 to
care context of school issue Plan, EHC	
Trauma (3 environment (2)] review, 2	
mentions, 1 Delay led to crisis assessment, De	av
person) Financial impact (2 due to LA error,	
Child not in best learning people)	
Emotional/health environment for them communication)	
impact (CYP) (6 Later intervention more	
mentions, 3 Loss of knowledge at key expensive Poor quality EH)P
people) stage transfer (4 people)	
Lack of trust (therapist's repo	t
Emotional/health Provision not fulfilled due amended, not	-
impact (P/C) (2 to EHCP error Feeling isolated because updated, wrong	
people) not involved language, forgot	
Unnecessary taxpayer assessment)	
Financial impact (1 expense (last minute agreements)	Ч
person) agreements) forums Hours considere	u
Lack of trust (2 Council perceived to be	
people) (1 Delay 'gatekeepers' Not providing	
perceived to be Barriers to Local Authority provision	
deliberate, 1 providing good support CME	
suspect refusal Lack of self-	
due to money) Under-resourced (6 reflection	
mentions by 4 people)	
Unnecessary cost (inc. 1 OT shortage) No ownership of	
to taxpayer (2 Barriers to Local Authority mistake	
people) Lack of SEND knowledge providing good support	
(4 mentions by 2 people) Perception of	
Delay led to crisis(2 teachers, 1 SENCo, 1Covid barrier toillegality	
general) timeliness	

Stroip on forsily			Drimony achael set
Strain on family		Fould intervention	Primary school not
relationships (2)		Early intervention	meeting need
CME		hampered	Secondary echool
CME	Suggestions for	by wait times	Secondary school named said it was
OVD couldra't	Suggestions for		
CYP couldn't	improvement	Under-resourced	not suitable
access	Training (Encontra) (all	(funding/staff) (7	Lest minute
mainstream	Training (5 people) (all	mentions by 3 people)	Last minute
	school staff, SEND officers LA staff in	Look of knowlodge	agreement before
		Lack of knowledge – teachers (6 mentions by	tribunal (3 people)
Barriers to Local	personalisation)		Incondiant longuage
	Check knowledge offer	5 people) (2 autism/PDA	Incendiary language
Authority providing good support	Check knowledge after training	in particular-school and LA +	Case officer turnover
good support	training	1 school senior	(1 person)
School focus on	Schools should make use	leadership in particular)	(Tperson)
grades (2 people)	of mental health training	leadership in particular)	Not child-centric
grades (z people)	or mental nearth training	Sufficiency of aposicilist	Not child-centric
Pressure to meet	Want staff to care	Sufficiency of specialist places (2 people)	
		- Of which, for	
EP advice targets	Staff with lived		Consequences of
Lack of SEND		autistic girls in	Consequences of bad practice
	experience	particular (1)	bad practice
knowledge (7 mentions by 4	Want simple language	School focus on grades	Delay led to crisis (2
people) (4 by	parent guide	School locus on grades	people)
teachers and 1 by	parent guide	Loss of early help	people)
EPs on PDA)	Send parent guide out	resource (children's	Financial impact (2
EFS OILFDA)	with school newsletter	centre)	people)
Under-resourced	with school newsletter	centre)	people)
(10 mentions by 4	Want help with paperwork		Emotional/health
people, including			impact (CYP) (3
1 EP shortage, 1	Agreement initially would	Suggestions for	people)
increased demand	direct the money into	improvement	people)
and 2 case officers	education rather than	Improvement	Emotional/health
have inadequate	tribunals	Answer communications	impact (P/C) (7
time)	libulais	Answer communications	people)
ume)		Need SEND knowledge	people)
Parents with		for all staff (2 people, 1	Emotional/health
varying standards		for teachers and 1 for	impact (case officer)
			impact (case onicer)
of English		schools and LA)	Linnococcon / cost to
		Nood for an production	Unnecessary cost to
		Need for co-production	taxpayer (2)
Suggestions for		Want transparency on	Need for escalation
		Council's remit	wastes officer time
improvement			שמשובש טווונכו נווווכ
Assess all children		Maka aligibility aritaria for	Life expertunities
		Make eligibility criteria for	Life opportunities
at statutory school		EHCP less opaque	harmed
age		Want centralised	Inoquality of access
Training dolivered			Inequality of access
Training delivered		directory of help available	CME (2 popula)
by people with		Should offer support	CME (2 people)
lived experience		Should offer support before assessment	Not able to make
Variety of training			Not able to make informed best choice
			for child
to reflect spectrum			
Accept private			Primary school said
assessments			could not meet need
455655116116			

Ensure provision		because need not
in Plan is provided		updated on Plan
Penalise schools		Out of appeal time
for inappropriate		because not
exclusions		informed of decision
exclusions		on time
EHCP quality		on ano
assurance		Lack of trust (LA) (4
		people) (1 suspects
		refusal due to
		money)
		.,
		Lack of trust (school)
		(2 people) (1
		suspects refusal due
		to money)
		Reliance on non-
		professionals who
		can misinform
		Barriers to Local
		Authority providing
		good support
		good ouppoin
		Under-resourced (7
		mentions by 6
		people) (3 saying
		provision motivated
		by money, 4 high
		caseloads)
		Lack of SEND
		knowledge –
		teachers (4 people)
		(including autism 1,
		PDA 1)
		CYP who mask not
		helped (3 people)
		Suggestions for
		improvement
		Communicate (5)
		(proactively)
		Lindate naronta
		Update parents
		Want conversation
		about rights and
		obligations
		÷
		Need help
		understanding legal
		process

Take time to know children
Take time to speak with parents (2)
Want honesty (2)
Provide Panel details (2)
Reflect on how to avoid common causes of tribunals
Immediately review strength of tribunal case
Training for Panel
Train LA staff (by IPSEA)
Need training in legal obligations
Need SEN training for all teachers
Know legal responsibilities
Train case officers
'Secret shoppers' to check compliance
Ensure named schools can meet need
Want quality EHCP for school compliance
Put child first (2)
Want timeliness
Want AP where required
Want consistency (geographically)
Recognise parents know their children

Consolidated

Where support was found (and what made it good)

Nursery (4) (led to referral, early identification, helpful with EHCP, proactive, helped with paperwork) Schools (13, including 8 primary and 2 secondary) (dependent on teachers, lived experience of teacher, 3 explained process)

Case officers (7, 3 of which with lived experience) (responsive, great, knowledgeable, good communication, empathy and knowledge of system, made things happen, apology from duty) LA staff (explained why) Inclusion officer (face-to-face) LSPA (2) (following protocol/informed on process) Be.Heard (following protocol) TATF (meets led to referral) Virtual School OT (honesty)

EP (found quickly) NHS (support for speech delay) GP (tried, unsuccessfully, to speed up CAMHS) Private clinicians (good advice on schools)

Mediation (2) (face-to-face, swift decision) Solicitor Family Voice Surrey Third sector (9) (2 helped train school staff, training delivered by people with lived experience, 2 helped with paperwork, 2 knowledge of system, informative and thorough advice, quick response) Peer support/parent groups (4) Facebook groups (5)

Perceived bad practice

Fight/battle (12 people, 16 mentions) Being 'failed' by SCC (10 times) Unsupported (9 people) (6 LA, 2 social services) Unsupported by CAMHS (4 people)

'Communication' - word raised 20 times as an issue Poor case officer communication (12) Poor communication between services Poor communication with schools Case officer turnover (7 people) Not informed by LA (15) (of entitlement to AP, of Panel outcome, that school hadn't responded, of process/how to access help/SCC's remit, 4 people of who does what, 2 not aware of local offer, no Panel transparency) Not signposted to support (12) (2 to list of special schools) Parents not listened to/dismissed (13 people) Parents not involved in process (3 people) Not considering private reports (4 people) Incendiary language (6) (1 by teachers) Complicated language (5) No explanation of decision/language (6 people) Feel blamed/accused (9 people) (1 by social worker) Lack of empathy (6) (3 in schools, LA, 2 case officers) No personalisation (5 people) (1 OT report) Local Offer unhelpful No ownership of mistake Pressure from inclusion service

Asked parents again for same information Lack of consistency in giving information Not informed of options by school (3 people)

Timeliness (43 mentions) (including the 12 people case officer communication, 10 to issue Plan, 8 assessments, 2 intervention, to name school, to get appropriate school, EHCP review, waiting lists for help, SLT provision, length of appeal, delay due to LA error)

School not meeting need (11 people) (4 primary and 6 secondary, secondaries often not understanding ASD presenting as anxiety, 2 secondaries named on Plan said they weren't suitable) Special school not meeting academic need Labelling (teachers) School provoked behaviour to get EHCP

Poor quality EHCP (12 people) (poor EP report, 2 evidence edited, errors generally, standard of English, lack of clarity and precision, SMART goals, health section error, no school named, 2 named secondary school that said can't meet need, not updated, wrong first language, forgot assessment) OT failed to attend TATF Case officer failed to attend TATF CAMHS error (lost paperwork)

No to issue EHCP (2) No to assess No to assess overturned Perception of illegality (2) (no to assess) Last minute agreement before tribunal (5 people) Tribunals perceived as unjustified Different policy for children with disabilities Support not equally accessible to all Don't follow CoP Not child-centric (2) Lack of self-reflection

Named school not parental preference Not had provision in Plan Suitable equipment not provided Lack of provision Shortage of short breaks provision Lack of action resulting from EHCP Inadequate AP Hours considered too few

Consequences of bad practice

'Trauma', in context of EHCP process (3 people) Emotional/health impact (CYP) (11 people) Emotional/health impact (Parent/Carer) (15 people) Feeling isolated because not involved Strain on family relationships (3) Financial impact (7 people) Emotional/health impact (case officer)

Early intervention hampered Delay led to crisis (4 people) CYP missing education (6 people) CYP couldn't access mainstream Life opportunities harmed Child nearly went into care Not able to make informed best choice for child Secondary school said could not meet need because need not updated on Plan Out of appeal time because not informed of decision on time Child not in best learning environment for them Lost knowledge at key stage transfer Provision not fulfilled due to EHCP error Inequality of access Unnecessary cost to taxpayer (7 people) (due to no response and need for escalation/last minute agreements/later intervention more expensive) Reliance on non-professionals/social media (3 people) Lack of trust (13 people) (2 school, 8 suspects refusal due to money)

Barriers to Local Authority providing good support

Lack of SEND knowledge (21 mentions by 15 people) (7 by teachers and 1 by EPs, 5 autism/PDA in particular) CYP who mask not helped (3 people) Under-resourced (30 mentions by 17 people) (EP shortage, OT shortage, increased demand, 6 case officers have inadequate time/high caseloads) Sufficiency of specialist places (2 people) (1 for autistic girls in particular) Loss of early help resource (children's centre) School focus on grades (3 people) Pressure to meet EP advice targets Covid

Suggestions for improvement

SEND training for all teachers (2) Mental health training in schools Training in SEND/personalisation/legal obligations (by IPSEA) for LA staff/SEND officers/Panel (11) Training delivered by people with lived experience Variety of training to reflect autistic spectrum Check knowledge after training Staff to care Staff with lived experience Communicate proactively/update parents (7) Want conversation about rights and obligations Help understanding legal process Take time to know children Take time to speak with parents (2) Honesty (2) Put child first (2) Need for co-production Recognise that parents know their children Transparency on Council's remit Make eligibility criteria for EHCP less opaque Provide Panel details (2) Want centralised directory of help Want simple language parent guide Send parent guide out with school newsletter Help with paperwork Timeliness EHCP quality assurance (2) 'Secret shoppers' to check compliance Reflect on how to avoid common causes of tribunals Immediately review strength of tribunal case Accept private assessments Ensure named schools can meet need Ensure provision in Plan is provided

AP where required Offer support before assessment Assess all children at statutory school age Agreement initially would direct the money into education rather than tribunals Penalise schools for inappropriate exclusions Consistency (geographically)